## UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lake, J. Group Art Unit:

To Be Assigned

Serial No.: To Be Assigned : IPLaw T81/B503

: IBM Corporation

Filed: Concurrently Herewith : PO Box 12195

RTP. NC 27709

Title: Estimating Software Project Requirements For Resolving Defect Backlogs

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Respectfully submitted,

David R. Irvin, Agent

Reg. No. 42,682

DRI/ssc

Docket: RSW920040056US1

Phone: 919-543-7810 / Fax: 919-254-4330

FORM PTO-1449 (Modified)

List of Patents and Publications for **Applicant's Information Disclosure Statement** 

(Use several sheets if necessary)

ATTY. DOCKET NO. RSW920040056US1

SERIAL NO. TO BE ASSIGNED

APPLICANT: Lake, J.

FILING DATE: To be Assigned

**GROUP:** 

To Be Assigned

Reference Designation		US PATENT DOCUMENTS			
Examiner Initials	Document Number	Date	Name	Class/Subclass	Filing Date (If. Appro.)
AA	2003/0105657	06/05/03	Nandigama et al.	705/9	11/16/01
AB	2003/0225470	12/04/03	Demetriou et al.	700/100	01/30/03
AC	6,195,590	02/27/01	Powell	700/36	02/11/98
AD	6,519,763	02/11/03	Kaufer et al.	717/101	03/29/99
AE	2003/0171972	09/11/03	Heskin	705/9	01/28/03
AF	2002/0062240	05/23/02	Morinville	705/8	11/21/02
AG	2002/0052775	05/02/02	Fisher, JR. et al.	705/10	02/16/01
AH	2002/0156671	10/24/02	Libra et al.	705/9	11/07/01
		FOREIG	ON PATENT DOCUME	NTS	_
					Translation
	Number	Date	Country	Class/Subclass	Yes No
Al	JP4153857A	05/27/92	Japan	G06F 15/00 (Abstract) X	
	OTHER ART (Inc	luding Auth	or, Title, Data, Pertin	ent Pages, etc.)	
AJ	Quantitative Software Management "Slim Suite Tools for Software Project Management" World Headquarters, McLean, VA, 6 pages				
AK	Putnam, L. et al. "Five Core Metrics, the Intelligence Behind Successful Software Management ISBN 0-932633-55-2, USA, Library of Congress Catalog No. 2003046157, pp 177-185, 2003				
AL	Putnam, L. et al. "Controlling Software Development" IEEE Computer Society, Press Order No. BR07452, ISBN 0-8186-7452-0, pp 39-45, 1996				
AM	Grady, R. "Successfully Applying Software Metrics" IEEE 0018-9162/94, pp 18-25, September, 1994				
AN	Weller, E. "Using Metrics to Manage Software Projects" IEEE 0018-9162/94, pp 27-33, September, 1994				
AO	Stark, G. Et al. "U September, 1994		in Management Decis	sion Making", IEEE 001	8-9162.94, pp 42-4
Examiner					Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.